

A New Cosmology of Risks and Crises: Time for a Radical Shift in Paradigm and Practice

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Our current system for homeland security does not provide the necessary framework to manage the challenges posed by 21st-Century catastrophic threats.

The Federal Response to Hurricane Katrina—Lessons Learned

The White House (2006, 52)

Abstract

Crises in the twenty-first century differ—structurally—from those we had to deal with in the last century. Crises of the twentieth century were traditionally defined and handled as a combination of “threat, urgency, and uncertainty.” Today, crises are better described in terms of a destruction of vital references and a dynamic of systemic implosions. If crises were once a type of severe, dynamic accident, they are now the essential mode of life in our hypercomplex systems. These transboundary crises mark a watershed between mind-sets and tools of the past, and the new strategic landscape that we are now in. The intellectual and governance challenges are extreme. But looking back is not an option. It is vital to forge new routes into Terrae Incognitae. The goal of this article is to help build (1) a renewed understanding of the emerging challenges we face; and (2) a better strategic response to these systemic dislocations.

KEY WORDS: rapid reflection forces, emerging crises, grammar for the unknown, *Terrae Incognitae* mapping, disaster and risk management, governance

Introduction

When Magellan decided to launch his circumnavigation of the globe, and confront unknown conditions and risks, he knew a few things: the cosmology of the time, based on calculations and theories of the ancient Greek and Egyptian mathematicians and astronomers, was inadequate and misleading; the “best practices,” fitted for the Mediterranean sea, would certainly prove to be dangerous traps; he would have to break time-honored assumptions. Last but not least, he would have to confront the backlash of a blasphemous disruption of accepted theory (Bergreen, 2004, pp. 10, 201–2).

This example is a lesson for all seasons, but especially for the twenty-first century. When the world mutates (OECD, 2003), ruling theories and best practices become outmoded, and turn into lethal pitfalls. The inescapable challenge is to rethink the issues, not to open additional boxes fitting the same models. The first enemy consists of the barriers in our minds. Our cherished models, those that have been so meticulously built in the last decades, are increasingly less relevant as new horizons of risks and crises unfold (P. Lagadec, 2006, 2007; Quarantelli, Lagadec, & Boin, 2006). The words of Sunzi contain a dreadful warning: “If you know neither the risks nor yourself, you are bound to be defeated in every battle” (Sunzi, 1999, p. 23). “Never fight the last war [crisis]” is the motto of the new game (Granatt, 2004).

The goal of this article is to set the scene for a new understanding and handling of today's hypercomplex issues. The point is not to find "the right script" or "the right answer," but to sketch out the maps that could help to muddle through uncharted waters. The point is not to provide plans and tools to avoid surprise—but to *prepare to be surprised* (LaPorte, 2007a). We have been entirely focused on finding answers; now we must shift to the questions and invent new intelligence, attitudes, and practice for a chaotic world (Cabinet Office, 2008; E. Lagadec, 2007).

A Whole New Ball Game

For decades, we have developed and consolidated a science of risk that was embedded in a strong and rewarding Cartesian philosophy: identification, isolation, measurement, statistics, lessons of the past, best practices, ready-made effective responses in case of incident. More recently, "knowledge" has emerged in the field of crisis management with regard to surveillance, alerts, mobilization, crisis teams, crisis centers, crisis plans, crisis communication, mitigation and recovery, and crisis drills. For serious events, a "more of the same" logic was applied: more detailed plans, more powerful tools, more coordination, and often more centralization. This vision is now outdated, to a large extent. The problem we face is that crises have evolved past the bounds of compartmentalized emergency into the vastness of unstable and chaotic *Terrae Incognitae*.

By developing an ability to isolate the facts, recognizing the regularity of some events, and through an enhanced sense of apprehension and calculations, human beings and societies increasingly succeeded in controlling the flow of events (Bernstein, 1996). This control opened the way to great discoveries and achievements, with more and more sophisticated techniques to tame risks and vulnerabilities. However, a series of intertwined dimensions of today's risks compel us to revisit these paradigms.

Scale

Our whole philosophy of risk and crisis is that the event is clearly specific, isolated, independent, and above all, very limited compared to the size of the systems affected. Extreme events no longer fit into that model.

The Network Factor

The intricacy of the vital infrastructures on which we are increasingly dependent at the national and international levels can act as a resonance chamber that will magnify a local breakdown to unprecedented proportions (Auerswald et al., 2006; Boin et al., 2003; Boin and McConnell, 2007). On August 14, 2003, a small power fluctuation mishandled in Ohio resulted in a major power blackout affecting millions in the Northeastern United States and Ontario, Canada. Europe was not far from such a massive blackout in November 2006.

Speed

The severe acute respiratory syndrome (SARS) episode in 2003 showed the need to think of our vulnerabilities in the context of highly compressed time units. The

combination of the virus and the jet airliner changed the rules: in just a few hours, the virus jumped from Hong Kong to Toronto: a single, symptom-free carrier was enough to shake the capital of Ontario (which lost 15,000 jobs). The August 14, 2003, power cut plunged the northeastern part of North America into darkness in less than a minute (U.S.–Canada Power System Outage Task Force, 2004). Today, an electronic glitch could shut down our information systems worldwide within a minute (Cukier, 2005). When we realize that it takes a good ten days to get our systems up and running in case of a freak event (Katrina, heat waves, tsunami), this time discrepancy is a cause for concern.

Ignorance

We now often find ourselves moving from uncertainty, a dimension to which we are well accustomed, to ignorance. The issue is not totally new, but increasingly challenging, on all fronts (LaPorte, 1994). Not only do experts now find themselves at the very limits of the current state of knowledge, but their theories and plans are simply not working. The problem is no longer to identify what we “still” do not know, but more modestly to try to discern what parcel of our available knowledge really is robust enough to answer the surge of questioning from all sides that modern crises elicit, and to guide us through them when all else fails. It was the key challenge with the mad cow threat (Phillips of Worth Matravers, Bridgeman, & Ferguson-Smith, 2000), when stakeholders were thrust into a maelstrom of contradictory information, between concerns that there might be “millions” of victims, official pronouncements that the disease was harmless, and the eventual, reassuring scientific assessments in hindsight, which allowed many to regain their bearings by simply shrugging off the entire episode as much ado about nothing. In August 2003, in Europe, many officials thought it best to shrug their shoulders again over a bit of summer heat, until, over a period of ten days, the toll mounted to a staggering 70,000 deaths (Lagadec, 2004).

Hypercomplexity

Our modes of acting are configured according to “normal” benchmarks of complexity, meaning that a *typical* emergent event can be neatly classified within a relatively defined and stable context. Now, these benchmarks have been abruptly overwhelmed. This was the case with Hurricane Katrina on August 29, 2005. Katrina caused persistent flooding, a series of industrial disasters, critical evacuation challenges, widespread pollution, the destruction of 90 percent of the essential utility networks (energy, communications, water), unprecedented public safety concerns, concern over the possible loss of the port area (which is essential to the continent’s economy), even uncertainty as to whether portions of the city could be saved.

The Inconceivable

This is potentially the most destabilizing element of all. America was prepared for missiles, but it was hit with box cutters, and its own commercial planes, coming from

U.S. airports, (initially) under the helm of American pilots. We thought global epidemics were a thing of the past, and, lo and behold, the specter of a pandemic has returned. Indeed, when we look back at the flu pandemic of 1918 (Barry, 2004), we even have to acknowledge that societies of that time were probably a good deal more resilient than ours, trapped as we are by the widespread devotion to “lean” processes and “just-in-time” principles that can transform a minor breakdown into a cascading domino disaster almost instantly. What would it mean today to lose a major urban center, a hub city?

Category-5 Media Storms

Yesterday’s question was whether and how our crisis managers, the exclusive recipients of warnings, analyses, and recommendations from the experts, would pass on information to the media with sufficient transparency and understanding. The challenge for them today is how to cope when all tools of governance and “top-down” logics are promptly outflanked by unbelievably powerful mass-media systems that are so adept at “staging” events, and have acquired their own “situation rooms.” Another front also has been opened with the Internet, blogs and vlogs. Instantly, myriads of sources are brought to bear on a situation. These in turn cause mutations that must be managed. For example, during the July 7, 2005, bombings in London (Home Office, 2006), whoever could not instantly reach someone in London thought the nonanswering person was among the victims (Granatt & Lagadec, 2005).

In other words, the “good old” crises of the 1980s and 1990s, with their confined stage and still relatively simple rules, are undergoing mutations. That leap was in fact the crux of the Katrina challenge and fiasco. As Admiral Thad Allen (2008) put it: “When I arrived in Louisiana some ten days after the landing of Katrina, the essential characterization of the problem had not been done, people were dealing with a ‘common Hurricane,’ but it was not. It was *a weapon of mass destruction without criminal dimension.*” We are experiencing exactly the same fault line with the “financial crisis,” which is not a financial hurricane but a global upheaval of the entire social-economic system.

A Global Context Prone to Liquefaction

A fundamental approach to risk has been “the breach in the dike” strategy. We are discovering that the “dikes” themselves are subject to in-depth “liquefaction” or destruction. Any blow can trigger extravagant domino effects, global contaminations, reactions, and mutations. Multiple and interdependent structural fault lines increasingly appear as the decisive dimension of emerging crises.

Demography and Urbanization

The next half century will see an increase of more than 3 billion people on earth, bringing the figure to 9.3 billion by 2050 (OECD, 2003). The increase will especially affect poor countries, coastlines, and deltas—areas prone to major natural hazards. The aging of populations will transform the risk maps in the most developed countries. The incredible growth of megacities will produce totally new problems

and issues, with major challenges such as poverty, environment, insecurity, transportation bottlenecks, and ever less resilience.

Environment and Public Health

Water scarcity and pollution, soil loss through wind and water erosion, air pollution, and sudden climate change can all produce major intercontinental and worldwide imbalances. To this we must add the impact of technological developments that are largely unpredictable but that will be both specific and systemic in nature, such as electromagnetic radiation, bio- and nanotechnology, installations reaching the end of their life cycle, and wastes of all kinds. The fields to consider are innumerable, some of which will be completely foreign to our benchmarks and our experience.

A “Tightly Coupled” Civilization

In the 1980s, we began to consider the risks of “tightly coupled” systems (Perrow, 1984, 2007). What we now have is not only a coupling of critical technical systems, but also a civilization based essentially on interlinkages that are generalized, dynamic, and largely invisible, even to the operators most directly concerned. The global economy *depends* on this structural fragility. The question of vulnerability, then, in fact no longer is a problem of risk affecting a sensitive point but a structural problem, one that is intimately linked to the very way our systems function.

Geostrategy, Violence

Globalization opens innumerable fault lines between people who, in the past, had been somewhat isolated from each other. All the factors discussed in this article have become potential factors for mass destruction. “The network *is* the weapon,” as was demonstrated (to a limited extent) in the case of postal services hit by the 2001 anthrax attacks and hoaxes (Lagadec, Michel-Kerjan, & Ellis, 2006).

Economy

The past year has been the most challenging example of the global tendency to glide from a crisis to a meltdown—from the “subprime crisis” to the financial, and now economic-social meltdown. The issue is no longer this or that “accident,” or even “disaster,” but the perspective of global dislocations overwhelming our theories, best practices, models, and sense-making capabilities.

Due to the combination of “barbarous” events and “chaotic” contexts, both open to discontinuity, and even ignorance, alarming qualifications that analysts of risks used to dismiss now no longer appear quite so remote and secondary, but major and central. It was Leibnitz’s admonition in 1703: “Nature has established patterns originating in the return of events, *but only for the most part*” (Bernstein, 1996, p. 4). It was Cardano (16th century) who in his mathematical analysis of the probabilities in dice-throwing carefully qualified his results with “. . . *if the die is honest*” (p. 45). The crucial part of risk, and crises, increasingly lies in such blind spots, where we do not have the ready-made tools, where our best mathematical techniques no longer

Table 1. Risks and Crises: Another World

Tamed Risks and Crises	Wilderness of the Unknown
Context: A stable world + rare disturbing events	Context: Unstable foundations + domino events
Events: Specific, known, controlled	Events: Global, unknown, beyond control
Policy: Best answers, best plans, best tools	Policy: Best questions, reinvent trajectories
Mind-set: Continuity, average, control	Mind-set: Discontinuity, extreme, chaotic
Training: Learning best fixed answers	Training: Learning <i>to be surprised</i> (LaPorte)
Education: Math, quantitative tools, top-down ready managers	Education: Facing the unknown, <i>with</i> others (multidisciplinary)
Psychology: Vitality protected by known rules	Psychology: Open to <i>Terrae Incognitae</i>
Institutional selection: Efficiency to apply the rules	Institutional selection: Double ability: within and beyond the rules
Ultimate institutional responsibility: Known events management, through existing rules and laws	Ultimate institutional responsibility: Addressing the vital issues, even if it means reinventing the rules
Danger: Maginot line mentality	Danger: Irresponsible actions

apply. When the map is lost, the best specific solutions vanish. The issue is not such or such point of uncertainty, but rather a global and systemic descent into this unintelligible world of the chaotic: one in which notions like “discontinuity” and the “inconceivable” become watchwords. Averages, statistical regularities, and the lessons of history are no longer pertinent points of reference. The atypical, the singular, the exceptional become the order of the day. And when the pace, the scope, and the nature of the terrain thus depart so abruptly from accepted blueprints, our visions, our initiatives, and our tools rapidly fall apart. We must rebuild them, and do so urgently. This we can only achieve if we adopt a very bold attitude, which is precisely the scientific attitude: “*Be prepared to give up every preconceived notion*” (Barry, 2004, p. 13) (see Table 1).

Facing the Unknown: Inventing New Cognitive and Managerial Maps

“Why do we continually seem one disaster behind?” Those words of the U.S. House of Representatives in their report on Katrina (U.S. House of Representatives, 2006, p. 359) apply to any country. For all our efforts at catching up, our notions of crisis management still are legacies of an obsolete set of paradigms, namely: “an accident, an answer; a larger accident, a larger answer.” We will not genuinely move forward if we fail to replace this mind-set with its opposite: “no ready-made answer can be the solution to modern crises.” Emerging crises call for other paradigms and other strategies. In what follows, we identify a few landmarks to open the way.

A New Culture of Signal Detection

A simple emergency requires that the specific agency responsible has the capacity to react automatically to a clear and specific warning and to feed it promptly into the normal channels. A “conventional” crisis calls for the capacity to process signals that may be weak or scattered.

Emerging crises demand something else: the ability to spot the signs of phenomena that cannot be represented by any known model. In that case, the alert cannot be given automatically (as in an emergency) or largely preformatted (as in a known crisis), using preestablished principles.

The first obstacle is obvious: we have to capture a phenomenon not previously identified. We do not have a set of boxes to be filled in, or any accurate indications of what we should or might detect. More than “weak signals,” we need to look for signals that, by their nature, are virtually silent and especially elusive for the screening systems at hand.

This type of surveillance encounters a second obstacle: as soon as they are detected, or even suspected, the signals will trigger the vague sensation of a major threat to the system, which in turn triggers an irrepressible and instantaneous need to delete and avoid. It is as if the signal carries within itself the ability to neutralize the receptors, and block activation of the alert mechanism and the transmission chains, and indeed any idea or inclination for mobilization and reaction. That is why, in their postcrisis reports, investigators have consistently declared their “consternation” upon realizing, after the fact, how many players had been deaf and blind to the event in question.

If nonconventional surveillance is to be possible, it must be entrusted basically to persons and systems with the appropriate form of intelligence. Not the “procedural intelligence,” ideal for repetitive phenomena. Not even “intuitive” intelligence, when we have to work with just 20 percent of the necessary information, but “creative intelligence” which demands (and rewards) imagination, well beyond any given set of guidelines, and more: people who feel comfortable and creative in a destabilized world, where the dice have not yet been rolled. Generally those people who have been pushed aside by our institutions.

And then: even if “strange” (not “weak”) signals have been detected, how are they taken into account at higher levels? The whistle blower must be able to mobilize the leadership, which constitutes a second barrier, since straying outside regular, risk-free pathways is always perceived as extremely dangerous at the highest levels.

Leadership

“At all levels of government, we must build a leadership corps populated by leaders who are prepared to exhibit innovation and take the initiative during extremely trying circumstances” (The White House, 2006, p. 72). Such leaders ought to be able to take “inconvenient” signals and disconcerting realities fully on board—yet people in charge have been selected for their managerial skills: the ability to run conventional things according to the best given practices. Emerging crises demand leaders: questions of vision and policy come to the fore. This calls for in-depth education to the unknown, specific training to confront these universes, and above all, the personal capacity to be involved even when there is no ready-made, MBA-certified solution.

Leaders have to break through the conventional limits, to slip across old boundaries and invent new collective responses. When vital issues are at stake, nothing can be done without determined personal and direct involvement from the top. As Henry Kissinger (1982, p. 531) put it, “The most important role of a leader is to take

on his shoulders the burden of ambiguity inherent in difficult choices. That accomplished, his subordinates have criteria and can turn to implementation.”

This constitutes a revolution in our culture of governance, which would rather leave it to the second ranks to anticipate risks and take charge in situations that are not yet clear. There is a tendency, in effect, to try to “protect” the leader, as long as everything is not “perfectly clear.”

Here too, one might argue that some cultures are better prepared than others. The United States could be seen as more amenable to the type of leadership advocated here. An especially striking example here is that of Rudolph Giuliani, the Mayor of New York City at the time of the September 11 events. His role is easily underlined if one compares the response in New York and what occurred in New Orleans in 2005 (even if the two situations were very different in many respects). His convictions and his personal commitment on the front line of that inconceivable event were the cornerstone of the city’s resilience. His advice is unambiguous: “Have beliefs and communicate them. See things for yourself. Set an example. Prepare relentlessly. Underpromise and overdeliver. Don’t assume a damn thing” (Giuliani, 2002, p. x).

Leaders must be mentally prepared to adopt an approach to intelligence and action that is more creative than procedural. With very little information available and even less of it verified, the leader must have the conviction and the vision to lead the community out of its initial disorientation, and to avoid the two pitfalls that are always present in extreme crises: bureaucratic inertia (where each organization waits until the crisis fits its codes and rules), and the general loss of nerve (not only within the public, but along the entire chain of command). It is only by inspiring confidence that we can get through the ordeal, renew our energy, and come up with innovative plans and concrete roads to success.

Here too, conventional systems are geared the wrong way. Audits tend to show leniency toward fiascos resulting from a strict application of the rules, but will severely condemn failure incurred through unconventional responses. It often proves less risky to fail by the rule than to succeed through unconventional tracks.

The major challenge today is to choose and then prepare leaders so that the creative approach will prevail in the inevitable nonconventional crisis, whereas the entire organizational, administrative, and institutional culture normally seeks to fall into procedural thinking (Young, 2007). In our cultures and in our selection processes, creative thinking is both punishing and punished. And this fundamental logic is not going to be turned around by devoting a few hours a year to formatted “crisis management” seminars—essentially oriented toward the teaching of the “good answers” and “best and certified practical answers.”

Yet the challenge cannot be merely individually focused. If these fundamentals were changed, we would certainly be in a better position to build institutions less opposed to creative leaders.

Generating Strategic Intelligence: “Rapid Reflection Forces”

“People in government are overwhelmed by crises [. . .]. They do not have much time to step back and consider the big picture” (Hamilton, 2006, p. 12). The same is true in the private sector. Yet, the importance of standing back and assessing the

situation objectively is even more important in this world of discontinuity than it is in specific limited crises. The reason is clear enough: because the strategic landscape has mutated, the conventional tactics and interpretations no longer work and are even counterproductive. We must tear ourselves away from them, which demands a very active and determined effort, and then construct new frameworks for understanding and coping with reality.

In operational terms, this means that leaders must have at hand people who are familiar with engaging chaos and who are given to thinking openly in unreadable situations. This is essential for overcoming the most severely pathological reactions to these new forms of crisis. These are: mental blocks (the constant refrain is “in a crisis, you don’t have time to think”); the “bunker mentality,” with everyone holing up in his own little corner; treating problems in purely technical ways; and above all, rushing blindly to the most counterproductive options.

The concept and practice of *Rapid Reflection Force*—RRF—has been forged to try to avoid these traps. It has been implemented for example in EDF (Electricité de France, the premier French public utility in the energy sector, and the first worldwide nuclear operator). Along with the more “tactical” crisis teams, focused entirely on immediate operational responses, plans, and logistics, such RRF teams promptly undertake four broad lines of questioning:

- What is the essence of the problem? The intelligence front involves a constant battle to frame, anticipate, detect, and clarify the nature of the crisis, surprises, domino effects, escalation dynamics, and the general mutations that can be triggered. By definition, it is not possible to grasp all the essential issues at stake in a crisis that is new, unclear, and chaotic.²
- What are the major pitfalls? When the pressure of events becomes extreme, when panic spreads, when the bearings are lost, the very normal tendency is to become mired in the most counterproductive ruts. This happens with every major crisis. It is crucial, immediately, to think about the major errors to avoid. And the first error is a wrong framing of the issue.
- What is the map of actors; what networks are needed? By definition, extreme crises strike at the system in ways that are hard to anticipate, and that may differ depending on the people concerned—Katrina and heat waves (Klinenberg, 2002) being set examples. At the same time, the new issues will have to be handled with new players. New maps will be needed both for diagnosis and for action, and they will have to be adjusted or remodeled throughout the ordeal.
- What constructive initiatives can the RFF propose? The most important thing is not to pore over statistical lists or to compile all the information possible, but rather to try to discern one or a few critical initiatives that could introduce “a new ballgame,” help us escape our crisis-induced mental ruts, and launch virtuous circles.

The kind of thinking that is needed here is the diametric opposite of procedural thinking. We must discriminate the essential factors, both in order to understand the crisis and to get out of it. In taking advantage of RRFs, what is important is not

to draw up lists of data and fill out a series of preformatted tables, or to get tied up in hours of teleconferencing that will be increasingly technical and focus on ever more detailed micromanagement. Experience shows that these RRFs are crucial for Executives Committees, from blowing the whistle (“there is a crisis, do wake up”), to rechecking the organizational response, and above all to delineate some creative initiative to transform the global dynamics (Béroux, Guilhou, and Lagadec, 2007, 2008).

After two years of implementation, the quintessential power of the RRF innovation is coming to the fore. Fundamentally, the RRF is not just another organizational tool providing additional answers. The RRF manifests the necessity of an open-minded, questioning, creative stance, beyond the usual mere applications of previous models and mind-sets.

Empowerment

The years 1980–2000 were dominated by the idea of “Communication.” We were told that to manage crises, we had to give information to the public, as a democratic requirement. That in itself represented an important step forward. The traditional response in times of emergency or catastrophe has been one of “Command and Control.” This is based on two sturdy pillars: the concentration of decision making in a cloistered hierarchical structure, and the restriction of information held by that structure, in keeping with the military principles of the past.

It was finally admitted that the key to success in multidimensional turbulences required other approaches. It called for bringing coherence to a great number of entities, and such dynamics could not be achieved with an approach to governance that was restrictive, vertical, compartmentalized, and designed to minimize information.

We must now go much further. It is essential to provide critical information to those who will have to cope with abnormal situations on their own for some time. They must be brought decisively within the strategic loop; plans must be widely discussed with them, and their creativity and their initiative must be sought as inputs. Today’s environment demands dynamic linkages, fluidity and speed, shared information, and collective confidence.

Such an avenue certainly can seem complex, even shockingly so. “When things are serious, you command, you do not consult.” But public bodies are not the only one on the front line: “The ‘first’ responders on 9/11, as in most catastrophes, were private civilians. Because 85 percent of our nation’s critical infrastructure is controlled not by government but by the private sector, private-sector civilians are likely to be the first responders in any future catastrophe” (National Commission, 2004, p. 317).

And this way is even more vital, not less, when extreme events are the challenge. This is a key lesson of 9/11: The point was not to hide information from the public to avoid panic, but to give as much information as possible to the public, to help save lives. “Firemen were impressed with the composure and total lack of panic shown by almost all civilians” (National Commission, 2004, p. 299). In fact, a careful analysis of the 9/11 Commission’s or other key reports, from the inside (Dwyer & Flynn, 2006), show that one of the most vital strategies was not to “Reassure” and

“Command,” but to Inform and Combine. Some found a way down in the towers; the crucial issue was to obtain this information, and share it as broadly as possible. Therefore, 911 had to switch from a top-down system to a bottom-to-bottom dissemination of vital pieces of information.

This was the same seminal lesson of the 1918 Pandemic: “The fear, not the disease, threatened to break the society apart” (Barry, 2004, p. 461). Abraham Lincoln, quoted by Barry as the final words of his book, clarifies the ultimate issue: “Those in authority must retain the public’s trust. The way to do it is to distort nothing, to put the best face on nothing, to try to manipulate no one. Lincoln said that first, and best. Leadership must make whatever horror exists concrete. Only then will people be able to break it apart” (Barry, 2004, p. 461).

Crisis Recovery: Embedding the Recovery Issue Upstream

Until very recently, experts divided a crisis into successive and clearly defined phases: the precrisis (the prevention and surveillance phase), the crisis itself (the acute phase of response and mitigation), and the postcrisis phase (reconstruction or recovery). This last phase came “afterward” not only in the chronological sense, but also in the setting of priorities.

The recovery dimension was deemed less important, because prevention, which was easier in a more stable and predictable world, would reduce the incidence of crises. When prevention failed, the crisis would be “managed,” and any “residual” problems could be left to the last phase.

Today, this scheme has been profoundly disrupted. The reconstruction of New Orleans after Katrina will be a decade-long affair. Crisis recovery must be addressed as soon as crisis management begins, and even in preparedness efforts (e.g., in the architecture of information and communication systems). Unless the conditions of system recovery in a major crisis are carefully considered far in advance, the obstacles may well become insurmountable during the reconstruction phase that will have to be mounted after a severe event. The vision of a “back to normal” situation is just beside the point, there is no such thing in a chaotic context.

Education and Research

Until these issues are covered during initial education of prospective institutional leaders, it will be very difficult to insert them on the agenda for decision makers. Because the subject is so foreign to their frame of reference, they will be too fearful of the risks to consider and construct creative solutions. The real question, though, is this: how must we equip our future managers and citizens so that they can find new bearings, new anchor points for conviction, new tools of action in a world fraught with crisis and discontinuity? (Frémont, 2004; Taleb, 2007). This must not be done in a stable world where the disruptions to be “managed” are rare and isolated, but where discontinuity and mutation become the very matrix of evolution. Our responsibility—as ever—is to create systems of education and research that “could produce people capable of thinking in a new way” (Barry, 2004, p. 5). Urgency is of the essence: it would be foolish to educate the next generation of leaders to managing last century emergencies.

Conclusion

It is urgent to consider the very risks of our risk analysis and crisis management culture, which are currently tending to become veritable bridgeheads of the emerging crises themselves. A striking quote attributed to Bismarck could serve today as a global warning: “As long as the War College is in Paris, Germany will be fine.” Fighting the crises of last century is a monumental mistake, the mother of many collective failures.

The essence of the watershed we have undergone has to be accepted and dealt with, even if it precipitates deep mourning for past paradigms. Emerging crises have quit the realm of “emergency”—known problems, ready-made answers, fast responses—that has been the cradle of crisis research and practice. Crisis thinking now has to break out of this “emergency” rut.

Crisis is becoming the core dynamics, the biological texture, the very identity of an unknown world, whose laws combine extremes, ignorance, discontinuity, and chaotic turbulence. The name of the game is not the fixed, reassuring, and successful answers, but the intellectual, psychological, and leadership capacity to open the questions and draw new maps for sailing such unknown territories, with their “rude surprises” (LaPorte, 2007b), their Cape Horns, their rogue waves, and their spongi-form environments

On all fronts, intellectual, managerial, governance, belief, we must now acquire the skills and the openness to address the new vulnerability issues. We must venture resolutely into these new frontiers, in order to understand them and to improve our skills, in terms of vision, strategies, and tools—to better master our destiny in these particularly turbulent times.

Some could still argue that such “out-of-the-box problems” are not “scientific problems,” or “governance issues.” We do know, after Thomas Kuhn (1962) that science is not particularly interested in changing paradigms, and much prefers to simply improve understanding and know-how in the dominant paradigm. Yet, we must understand that the global conditions do not give us such luxury. When issues are that vital, science cannot barricade itself into its well-known regions. This represents a real challenge, and a revolution, but there is no choice. Similarly, some could argue that institutions are not made for stormy environments: they even tend to prefer the pursuit of a policy contrary to self-interest than to invent new ways (Tuchman, 1984). Such a line of defense could be disastrous. If institutions continually seek out the comfort of “normal contexts” they will rapidly restrain their function to a kind of museum-like activity.

The specifics of the challenge are new; but the fundamentals are not. Abraham Lincoln’s words resonate as a solid blueprint for today: “The dogmas of the quiet past are inadequate for the stormy present. The occasion is piled high with uncertainty, and we must rise with the occasion. As our case is new, so we must think anew, and act anew” (Lincoln, 1862). The twenty-first century appears more turbulent than we could ever have imagined, due to the global ripple effect of every local dysfunction, and the core mutation of systemic equilibriums. Contemporary Magellans will need a great deal of intelligence, courage, and determination. But failure is not an option.

Notes

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- 2 Margaret Hermann, analyzing the 9/11 attacks during the LSU conference on future disasters (Baton Rouge, April 2008), underlined the crucial importance of the very initial framing of the problem at the outset of a crisis: “Within an hour Tony Blair was on TV and said: ‘This is a crime against civilization.’ Later he talked about it being a matter for the police, legal system, and rule of law. Justice was the focus of his framing. A week later, I came back to the US and 9/11 had been framed as an attack against America. Which means it is military, it’s war, and it’s nationalism. Ladies and Gentlemen, it’s the same event defined and framed in a very different ways. The options you are being given are very limited by this frame and one of the difficulties between Europe and the U.S.A. in dealing with terrorism has been based in that framing of 9/11.”

About the Author

Patrick Lagadec is Senior Research Scientist at the Ecole Polytechnique (France). His research focuses on unconventional crises understanding and handling. Recent publications include book chapters, such as “*Crisis Management in the Twenty-First Century—‘Unthinkable’ Events in ‘Unthinkable’ Contexts,*” in Dynes, Quarantelli, Rodriguez; *Handbook of Disaster Research* (Eds.) (Springer, October 2006); articles such as “Over the edge of the world,” *Crisis Response Journal*, 3(4), 2007, pp. 48–9; and “Rapid reflection forces put to the reality test,” *Crisis Response*, 4(2), 2008, pp. 38–40 (in collaboration).

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